

Equivalence between GLT sequences and measurable functions

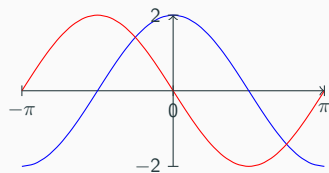
Barbarino Giovanni

Scuola Normale Superiore

Spectral Symbol

$$\{A_n\}_n \sim_{\sigma} k \quad \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=1}^n F(\sigma_i(A_n)) = \frac{1}{\mu(D)} \int_D F(|k(t)|) dt$$

$$A_n = \begin{pmatrix} 0 & 1 & & & \\ 1 & \ddots & \ddots & & \\ & \ddots & \ddots & \ddots & \\ & & \ddots & \ddots & 1 \\ & & & 1 & 0 \end{pmatrix}$$



Approximating Class of Sequence (acs)

$$\{B_{n,m}\}_{n,m} \xrightarrow{a.c.s.} \{A_n\}_n \text{ if}$$

$$A_n = B_{n,m} + R_{n,m} + N_{n,m}$$

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Approximating Class of Sequence (acs)

$\{B_{n,m}\}_{n,m} \xrightarrow{\text{a.c.s.}} \{A_n\}_n$ if

$$A_n = B_{n,m} + R_{n,m} + N_{n,m}$$

for which exist $c(m), \omega(m), n_m$ such that

$$\text{rk } R_{n,m} \leq c(m)n \quad \|N_{n,m}\| \leq \omega(m) \quad \forall n > n_m$$

$$\lim_{n \rightarrow \infty} c(m) = \lim_{n \rightarrow \infty} \omega(m) = 0$$

Properties of GLT Algebra

$$\widehat{\mathcal{E}} := \{ \{A_n\}_n : A_n \in \mathbb{C}^{n \times n} \} \quad \mathcal{M}_D = \{ k : D \rightarrow \mathbb{C}, k \text{ measurable} \}$$

$$\widehat{\mathcal{G}} \subseteq \widehat{\mathcal{E}} \times \mathcal{M}_D \quad \text{GLT algebra}$$

Main Properties

- 1.
- 2.
- 3.
- 4.

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1. $\widehat{\mathcal{G}}$ is **an algebra**
2. $\widehat{\mathcal{G}}$ is closed as a pseudometric space into $\widehat{\mathcal{E}} \times \mathcal{M}_D$
3. The GLT symbols are dense into \mathcal{M}_D
4. GLT symbols are spectral symbols
($\widehat{\mathcal{G}}$ contains \mathcal{L} the set of zero-distributed sequences)

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More?

Metrics

Completeness of \mathcal{E}

The metric d_{acs} on the space \mathcal{E} is defined as

$$d_{acs}(\{A_n\}_n, \{B_n\}_n) = \limsup_{n \rightarrow \infty} p_{acs}(A_n - B_n)$$

$$p_{acs}(A_n - B_n) := \min_i \left\{ \frac{i-1}{n} + \sigma_i(A_n - B_n) \right\}$$

corresponding to "small rank" R_n and "small norm" N_n

$$A_n - B_n = R_n + N_n$$

Theorem 1

(\mathcal{E}, d_{acs}) is a complete metric space.

Idea.

Given a Cauchy sequence $\{B_{n,m}\}_{n,m}$, there exists a map $m(n)$ s.t.

$$\{B_{n,m}\}_{n,m} \xrightarrow{\text{a.c.s.}} \{B_{n,m(n)}\}_n$$

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- The space \mathcal{M}_D is complete with the metric

$$d_m(f, g) = p_m(f - g)$$

$$p_m(f) := \inf_{E \subseteq D} \left\{ \frac{|E^c|}{|D|} + \operatorname{ess\,sup}_E |f| \right\}$$

Theorem 2

If $\{A_n\}_n \sim_{\sigma} f$, then

$$d_{acs}(\{A_n\}_n, \{0_n\}_n) = \limsup_{n \rightarrow \infty} p_{acs}(A_n) = p_m(f) = d_m(f, 0)$$

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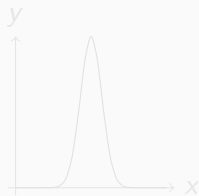
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$\{A_n\}_n$

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$f(x)$



$$\rho_{acs}(A_n) := \min_i \left\{ \frac{i-1}{n} + \sigma_i(A_n) \right\} \quad \rho_m(f) := \inf_E \left\{ \frac{\mu(E^C)}{\mu(D)} + \text{ess sup}_E |f| \right\}$$

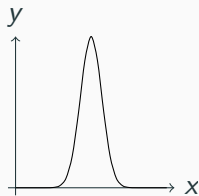
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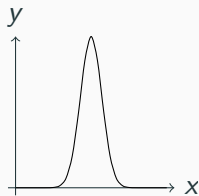
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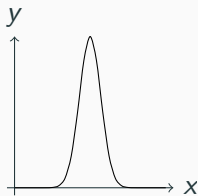
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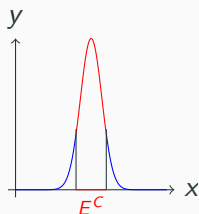
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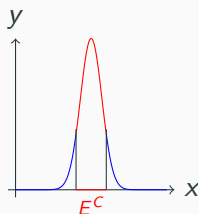
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Identification

$$\widehat{\mathcal{E}} := \{ \{A_n\}_n : A_n \in \mathbb{C}^{n \times n} \} \quad \mathcal{M}_D = \{ k : D \rightarrow \mathbb{C}, k \text{ measurable} \}$$

$$\begin{array}{ccc}
 \mathcal{E} & & \mathcal{M}_D \\
 \cup & & \cup \\
 S : P_1(\mathcal{G}) & \longrightarrow & P_2(\mathcal{G})
 \end{array}
 \quad
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 \mathcal{E} = \widehat{\mathcal{E}} / \mathcal{L} \\
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 \end{array}$$

Main Properties

1. S is a homomorphism of **algebras**
2. The graph of S into $\mathcal{E} \times \mathcal{M}_D$ is closed
3. $\overline{\text{Im}(S)} = \mathcal{M}_D$
4. $\{A_n\}_n \sim_\sigma S(\{A_n\}_n)$

More?

Let $\{A_n\}_n, \{C_n\}_n \in P_1(\mathcal{G})$.

1. S homomorphism of algebras

$$\implies S(\{A_n\}_n - \{C_n\}_n) = S(\{A_n\}_n) - S(\{C_n\}_n) = k_A - k_C$$

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Th2. $\{A_n\}_n \sim_\sigma f \implies d_{acs}(\{A_n\}_n, \{0_n\}_n) = d_m(f, 0)$

$$\begin{aligned} \implies d_{acs}(\{A_n\}_n, \{C_n\}_n) &= d_{acs}(\{A_n\}_n - \{C_n\}_n, \{0_n\}_n) \\ &= d_m(k_A - k_C, 0) = d_m(k_A, k_C) \end{aligned}$$

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Let $k \in \mathcal{M}_D$

$$3. \overline{\text{Im}(S)} = \mathcal{M}_D \implies \exists S(\{B_{n,m}\}) = k_m : k_m \xrightarrow{\mu} k$$

Iso. S is an isometry

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$$\text{Th1. } \mathcal{E} \text{ is complete} \implies \exists \{A_n\}_n : \{B_{n,m}\}_{n,m} \xrightarrow{\text{a.c.s.}} \{A_n\}_n$$

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$$\widehat{\mathcal{E}} := \{ \{A_n\}_n : A_n \in \mathbb{C}^{n \times n} \} \quad \mathcal{M}_D = \{ k : D \rightarrow \mathbb{C}, k \text{ measurable} \}$$

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 \mathcal{E} & & \mathcal{M}_D \\
 \cup & & \cup \\
 S : P_1(\mathcal{G}) & \longrightarrow & P_2(\mathcal{G})
 \end{array}
 \quad
 \begin{array}{l}
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Main Properties

1. S is a homomorphism of **algebras**
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4. $\{A_n\}_n \sim_\sigma S(\{A_n\}_n)$

More?

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The domain of S is maximal in \mathcal{E}

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Main Properties

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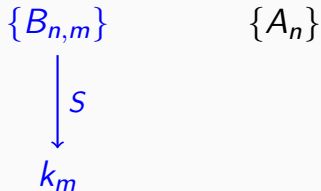
***The theory of Generalized Locally Toeplitz sequences: theory and applications*, volume I.**

Springer.

$$\{A_n\}$$

- given $\{A_n\}_n$
- find $\{B_{n,m}\}_{n,m}$ GLT sequences with symbols k_m
- if k_m converges, then also $\{B_{n,m}\}_{n,m}$ converges
- if $\{B_{n,m}\}_{n,m}$ converges to $\{A_n\}_n$
- Then $\{A_n\}_n$ has spectral symbol k

→ proving the acs convergence is difficult



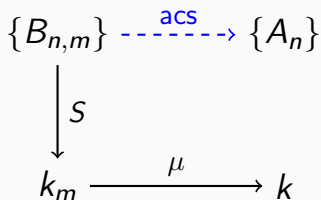
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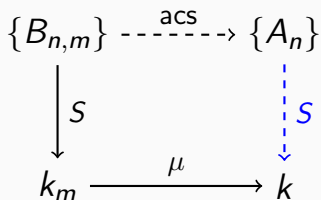
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Metrics on \mathcal{M}_D

Let $\varphi : \mathbb{R}^+ \rightarrow \mathbb{R}$ be an increasing bounded concave and continuous function with $\varphi(0) = 0$

We can define corresponding metrics on \mathcal{E} and \mathcal{M}_D

$$p_m^\varphi(f) := \frac{1}{|D|} \int_D \varphi(|f|) \quad p^\varphi(\{A_n\}_n) := \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{i=1}^n \varphi(\sigma_i(A_n))$$

$$d_m^\varphi(f, g) := p_m^\varphi(f - g) \quad d^\varphi(\{A_n\}_n, \{B_n\}_n) := p^\varphi(\{A_n - B_n\}_n)$$

Theorem 3

d^φ is a complete metric on \mathcal{E} inducing the acs convergence.

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Concave functions

- $\varphi_1(x) = \min\{x, 1\}$
- $\varphi_2(x) = \frac{x}{x+1}$

$$d_1^\varphi(\{A_n\}_n, \{B_n\}_n) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{i=1}^n \min\{\sigma_i(A_n - B_n), 1\}$$

$$d_2^\varphi(\{A_n\}_n, \{B_n\}_n) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{i=1}^n \frac{\sigma_i(A_n - B_n)}{\sigma_i(A_n - B_n) + 1}$$

→ New ways to test the a.c.s. convergence

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